

## Notes about the class:

Cover topics important for research in CM.

⇒ start with DS's book, handouts of chapters from other book will be provided

- ⇒ Add:
- (1) London-Fermi liquid theory
  - (2) Luttinger-Tomonaga liquid
  - (3) Critical Phenomena and RG
  - (4) Monte Carlo methods
  - (5) Suggestions from students

Notation: Unless important, in this course we set:

$$l = -l = \pi = 2$$

HW: -every two weeks

- except last HW will take 4 weeks ⇒ MC for Ising model

Exams: mid-term and final, dates TBD

Grading: Everyone who tries gets an "A"

HW late by 1 week - 50%

HW late by more than 1 week - 0%

HW - 40%    mid-term 30%    final 30%

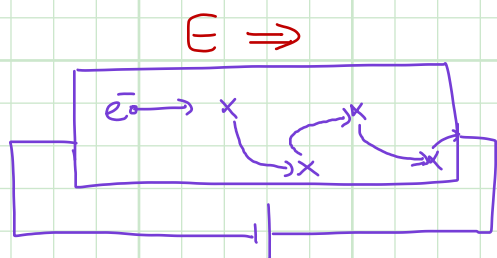
web: [www.davidpekker.phyast.pitt.edu](http://www.davidpekker.phyast.pitt.edu) → Advanced Solid State class

HW1: due 20 Jan.

DS. 5.22, 5.23, 7.1, 7.2

Why do metals (and semiconductors) have a finite resistance?

Short answer: scattering on impurities "slows down" electrons flowing in a metal



Goal: show how and when this mechanism works with simplest tools.

Boltzmann Equation:

Let us describe the distribution of electrons with the function

$$n(\mathbf{r}, \mathbf{k}, t)$$

$\uparrow$  position       $\uparrow$  momentum       $\uparrow$  time

This is a semi-classical description that ignores the fact that position and momentum do not commute. [This is natural as Boltzmann did not know about quantum mechanics when he wrote down his eqn]

How does  $n(\mathbf{r}, \mathbf{k}, t)$  depend on time?

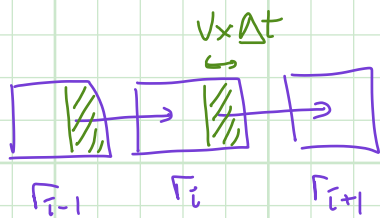
$$\frac{dn}{dt} = \frac{\partial n}{\partial t} + \frac{\partial n}{\partial k_x} \frac{\partial k_x}{\partial t} + \frac{\partial n}{\partial r_x} \frac{\partial r_x}{\partial t}$$

What do these terms mean?

(1)  $\frac{\partial n}{\partial r_x} \frac{\partial r_x}{\partial t}$  - particle motion  $\Rightarrow$  see by discretization

$$\frac{\partial r}{\partial t} = \text{velocity} = \frac{\hbar k}{m} \quad \left[ \text{for } \mathcal{H} = \frac{\hbar^2 k^2}{2m} \right]$$

Evolution of block at  $r_i$ :  $n(r_i, k, t)$   $\leftarrow$  density



# particles leaving block at  $r_i$  in time  $\Delta t$  with momentum  $k$ ?

$$\Rightarrow \text{momentum } k \Rightarrow v = \frac{\hbar k}{m}$$

$$\Rightarrow \# \text{ leaving} = v \times \Delta t \times n(r, k, t)$$

incoming particles  $\Rightarrow n(r_{i-1}, k, t) \frac{\hbar k}{m} \frac{\Delta t}{\Delta r}$

Outgoing particles  $\Rightarrow n(r_i, k, t) \frac{\hbar k}{m} \frac{\Delta t}{\Delta r}$

$$\Delta n = \text{net change} \Rightarrow \frac{[n(r_{i-1}, k, t) - n(r_i, k, t)] \frac{\hbar k}{m} \Delta t}{\Delta r} = \frac{\partial}{\partial r_0} n(r, k, t) \frac{\hbar k_0}{m} \Delta t$$

$$\Rightarrow \frac{dn}{dt} \sim \left[ \frac{\partial}{\partial r_0} n(r, k, t) \right] \frac{\hbar k_0}{m}$$

(2)  $\frac{\partial n}{\partial k_\mu} \left( \frac{\partial k_\mu}{\partial t} \right) = \frac{\partial n}{\partial k_\mu} F_\mu \Rightarrow$  Response to outside forces like electric fields.

$$\uparrow \text{ if } v = \frac{\hbar k}{m} \Rightarrow \frac{\partial k}{\partial t} = \frac{\partial m v}{\partial t \hbar} = \frac{m}{\hbar} a = \frac{m \vec{F}}{\hbar} = \frac{\vec{F}}{\hbar} \quad \vec{F} = m \vec{a} = -e \vec{E} - \frac{e}{c} \vec{v} \times \vec{H}$$

↑  
e.g.

(3)  $\frac{\partial n}{\partial t} = \frac{\partial n}{\partial t} \Big|_{\text{ext}} + \frac{\partial n}{\partial t} \Big|_{\text{collision}}$  this term represents change of the distribution due to either external processes like particle loss or internal processes  $\rightarrow$  the collisions between particles.  
often called the "collision integral"

$$\frac{dn}{dt} = \frac{\partial n}{\partial t} \Big|_{\text{ext}} + \frac{\partial n}{\partial k_\mu} \frac{F_\mu}{\hbar} + \frac{\partial n}{\partial r_0} \frac{\hbar k_0}{m} + \frac{\partial n}{\partial t} \Big|_{\text{collision}} \Leftrightarrow \text{Boltzmann equation.}$$

How to use the Boltzmann eq?

Example: steady state current through a metal wire.



metal with Fermi-Dirac distribution

Goal: compute  $\sigma = J/E$ .

"Boundary conditions"

(1) steady state  $\Rightarrow \frac{dn}{dt} = 0 \Rightarrow n(r, k, t) = n(r, k)$

(2) assume uniform distribution in space (no edges)  $\Rightarrow n(r, k) = n(k)$

(3) we work away from the ends of the wire, where current is being injected/extracted  $\Rightarrow \frac{\partial n}{\partial t} \Big|_{\text{ext}} = 0$ .

Using these conditions the Boltzmann equation becomes:

$$\frac{dn}{dt} = 0 = -\frac{e\vec{E}_m}{\hbar} \frac{\partial n(k)}{\partial k_m} + \left. \frac{\partial n}{\partial t} \right|_{\text{collision}}$$

To make further progress we need to specify the collision integral.

(1) What should  $n(k)$  be with no external Electric field?

$$n(k) = n_F(k) = \frac{1}{e^{\beta E_k} + 1} \Rightarrow \text{Fermi-Dirac distribution}$$

(2) How does this distribution get established?

$\Rightarrow$  collisions of electrons with impurities, phonons, each other.

bring the electrons to thermal equilibrium

$\hookrightarrow$  details of how this works are still a subject of active research, we will say a bit more about this later in the lecture.

$\Rightarrow$  Instead of describing the  $e^-$  thermalization explicitly

we make the relaxation time approximation:

thermalization processes tend to drive  $n(k)$  to its equilibrium form  $n_F(k)$  in time  $\tau(k)$

$$\Rightarrow \frac{\partial n}{\partial t} \approx \frac{n_F(k) - n(k)}{\tau(k)}$$

$\uparrow$  relaxation time approximation.

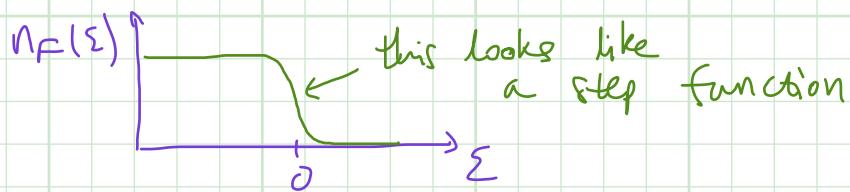
$$\frac{eE}{\hbar} \frac{\partial n}{\partial k} = \frac{n_F(k) - n(k)}{\tau} \Rightarrow n(k) = n_F(k) - \frac{\tau e E}{\hbar} \frac{\partial n}{\partial k}$$

This equation can be solved iteratively, at least for the case of small  $\vec{E}$ .

$$n^{(0)}(k) = n_F(k) \quad n^{(1)}(k) = n_F(k) - \frac{\tau e E}{\hbar} \frac{\partial}{\partial k} n_F(k)$$

$$\frac{\partial}{\partial k} n_F(k) = \frac{\partial n_F(\epsilon)}{\partial \epsilon} \frac{\partial \epsilon}{\partial k} \approx \delta(\epsilon) \frac{\partial \epsilon}{\partial k}$$

(1) Why  $\frac{\partial n_F(\epsilon)}{\partial \epsilon} = \frac{\partial}{\partial \epsilon} \left[ \frac{1}{e^{\beta \epsilon} + 1} \right] \approx \delta(\epsilon)$  ?



Indeed, consider

$$\int_{-\Lambda}^{\Lambda} d\epsilon \frac{\partial n_F(\epsilon)}{\partial \epsilon} = n_F(\epsilon) \Big|_{-\Lambda}^{\Lambda} = 1 \quad \text{for } \Lambda \gg k_B T$$

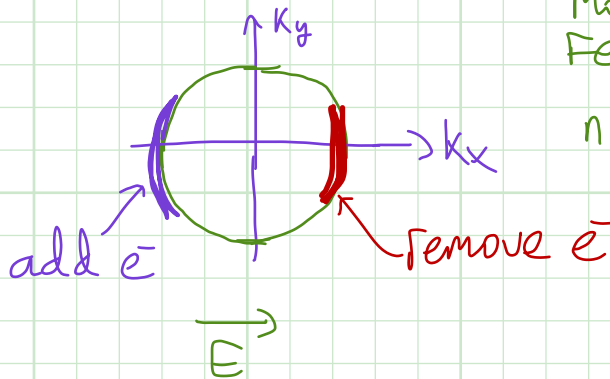
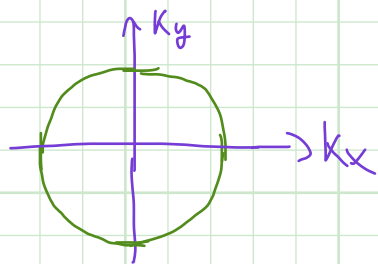
(2) Hence we find

$$n^{(1)}(k) = n^F(k) - \frac{\tau e E_m}{\hbar} \delta(\epsilon_k) \frac{\partial \epsilon_k}{\partial k_m} \quad \text{where } \epsilon_k = \frac{\hbar^2 k^2}{2m} - \epsilon_F$$

$$= n^F(k) - \frac{\tau e \hbar}{m} E \cdot k \delta(\epsilon_k)$$

↑ what does this mean?

(3)  $n(k)$  no E-field       $n(k)$ , apply E-field



Modifications near Fermi surface

$n(k)$  shifts so it is centered not on  $k=0$  but on finite  $k$ .

(4) Total current and conductivity

$$\text{current density} = \mathbf{J}_d = -e \int \underbrace{\frac{\hbar k_x}{m}}_{\text{velocity}} n(k) \frac{d^3 k}{(2\pi)^3} = -e \int \frac{\hbar k_x}{m} \left[ -\frac{\tau e \hbar}{m} E \cdot k \delta(\epsilon_k) \right] \frac{d^3 k}{(2\pi)^3}$$

$$J_x = \frac{E e^2 \tau \hbar^2}{m^2} \int \frac{k^2 dk d\cos\theta}{(2\pi)^2} \left[ (k \cos\theta)^2 \delta\left(\frac{\hbar^2 k^2}{2m} - \epsilon_F\right) \right]$$

$$= \frac{E e^2 \tau \hbar^2}{m^2} \int_0^1 dz z^2 \int \frac{2}{3} \frac{k^4 dk}{(2\pi)^2} \delta\left(\frac{\hbar^2 k^2}{2m} - \epsilon_F\right)$$

$$\frac{\hbar^2 k^2}{2m} = \epsilon \Rightarrow \frac{\hbar^2 k dk}{m} = d\epsilon, \quad k = \sqrt{2m\epsilon/\hbar^2}$$

$$k dk = \frac{m d\epsilon}{\hbar^2}$$

$$= \frac{2 E e^2 \tau}{3 (2\pi)^2 m} \int \left(\frac{2m\epsilon}{\hbar^2}\right)^{3/2} d\epsilon \delta(\epsilon - \epsilon_F)$$

$$= \frac{2 E e^2 \tau}{3 (2\pi)^2 m} \frac{1}{\hbar^2} \sqrt{\frac{2m\epsilon_F}{\hbar^2}} = \frac{2 E e^2 \tau \sqrt{2m} \epsilon_F^{3/2}}{3 (2\pi)^2 \hbar^3} = \boxed{\frac{E e^2 \tau n_0}{m}}$$

use:  $n_0 = \int_0^{\epsilon_F} \frac{d^3k}{(2\pi)^3} = \frac{4}{3} \frac{k_F^3}{8\pi^2} = \frac{k_F^3}{6\pi^2} = \frac{2m\epsilon_F^{3/2} \sqrt{2m}}{\hbar^3 6\pi^2}$

$$\sigma = \frac{J}{E} = \frac{e^2 \tau n_0}{m}$$

Drude Formula!

Using the Fermi-Dirac distribution has not changed the result.

Note 1: The expression we obtained is a form of linear response

The current generated is proportional to the Electric field applied

Note 2: What is going on "under the hood"

consider  $E(t) = E_0 \Theta(t)$

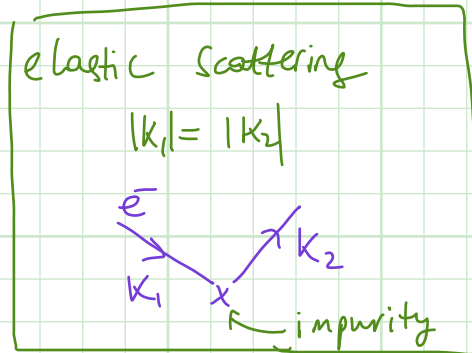
(1)  $t < 0$ ,  $E(t) = 0$ ,  $n(k) = n_F(k)$

(2)  $t = 0$ ,  $E(t) = E_0$ ,  $e^-$  start to accelerate

(3)  $t \sim \tau$ , Elastic scattering of  $e^-$  on impurities starts to take place and limits  $e^-$  velocity.

(4)  $t = \tau_t$ ,  $e^-$  thermalize due to  $e^- - e^-$  and  $e^- - ph$  scattering

Typically  $\tau_t \gg \tau$ , so our relaxation time approximation is not justified: depending on response we want we should use a different relaxation time.



Where is the energy relaxation? This must occur in the last step.

Note 3: Another way to state the result:

$$v_{\text{drift}} = \frac{J}{n_0 e} = \frac{e \tau}{m} E = \tau \bar{F}$$